



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/643,067	08/18/2003	3738	750	1671-0273	10 8	20	3

CONFIRMATION NO. 4182

Paul J. Maginot
Maginot, Moore & Bowman
Bank One Center/Tower
111 Monument Circle, Suite 3000
Indianapolis, IN 46204-5115

FILING RECEIPT



OC000000011253777

Date Mailed: 11/13/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian J. Maroney, Fort Wayne, IN;
Ian A. Trail, Worsley, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

This application is a CON of 09/767,473 01/23/2001 PAT 6,620,197

Foreign Applications

If Required, Foreign Filing License Granted: 11/12/2003

Projected Publication Date: 02/19/2004

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED

Method and apparatus for performing a shoulder replacement procedure in the treatment of cuff tear arthropathy

NOV 11 2003

MAGINOT, MOORE
& BOWMAN

DOCKETED
11/18/03 lam

Preliminary Class

623

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. **1671-0273**

J&J Reference: **DEP 537CON**

Application of: **Maroney et al.**

Serial No.: **10/643,067**

Filed : **August 18, 2003**

Title: **Method and Apparatus for Performing a Shoulder Replacement Procedure in the Treatment of a Cuff Tear Arthropathy**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004

(Date of Deposit)

Paul J. Maginot

Name of person mailing Document or Fee

Paul J. Maginot
Signature of person mailing Document or Fee

January 27, 2004

Date of Signature

REQUEST FOR CORRECTION TO FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

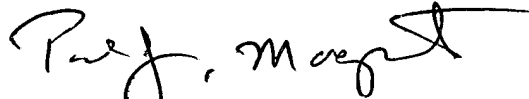
Please make the following correction to the Filing Receipt data relating to the patent application serial no. 10/643,067:

- Number of drawings on filing receipt should be changed from "9" to --10--.

A copy of the PTO-date-stamped return receipt postcard reflecting the number of drawing sheets submitted as "10" is enclosed for your reference, as well as a copy of the ten (10) drawing sheets originally filed with the application. Also enclosed is a copy of the Filing Receipt with the changes noted thereon in red ink.

Respectfully submitted,

MAGINOT, MOORE & BECK LLP

A handwritten signature in black ink, appearing to read "Paul J. Maginot", with a stylized flourish at the end.

Paul J. Maginot
Attorney for Applicants
Registration No. 34,984

January 27, 2004
Maginot, Moore & Beck LLP
Bank One Center/Tower
111 Monument Circle, Suite 3000
Indianapolis, Indiana 46204-5130
(317) 638-2922 telephone
(317) 638-2139 facsimile



August 18, 2003

PLEASE RETURN THIS CARD

Continuation Patent Application for **Method and Apparatus for Performing a Shoulder Replacement Procedure in the Treatment of a Cuff Tear Arthropathy**

Includes:

- (x) Continuation Patent Application Transmittal Letter
- (x) Patent Application (39 pgs of text)
- (x) Ten (10) sheets of formal drawings (2 sets)
- (x) Copy of Executed Declaration and Power of Attorney documents
- (x) Recordation Cover Sheet
- (x) Copy of Two (2) Executed Assignment documents
- (x) Check in the amount of \$790.00

RECEIVED

AUG 25 2003

MAGINOT, MOORE
& BOWMAN

Applicant(s): Maroney et al.
Express Mail: EV 162785111 US
J&J Reference: DEP 537CON
MMB Docket No. 1671-0273



22240 U.S. PTO
10/643067
08/18/03

